520.38682CX2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: S. NAKAYAMA, et al.

Serial No.: Not yet assigned

Filed: August 4, 2003

For: STORAGE SUBSYSTEM AND STORAGE CONTROLLER

Group: 2182

Examiner: A. Elamin

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 & 1.98

Commissioner of Patents P.O. Box 1450 Alexandria, VA 22313-1450 August 4, 2003

Sir:

In the matter of the above-identified application, this Information Disclosure Statement is being submitted with the following citation as specified in 37 CFR §1.97(d).

"A copy of any patent, publication or other information listed in an Information Disclosure Statement is not required to be provided if it was previously cited by or submitted to the Office in a prior application, provided that the prior application is properly identified in the statement and relied upon for an earlier filing date under 35 U.S.C. §120."

Applicant(s) are submitting herewith a copy of Form PTO-1449 which list documents cited in parent application(s) Serial No. 09/608,151, filed June 30, 2000.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (520.38682CX2) please credit any excess fees to such deposit account.

Respectfully submitted,

Carl I. Brundidge

CIB/jdc

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Registration No. 29,621

ANTONELLI, TERRY, STOUT & KRAUS, LLP

SHEET 1 OF 5 SERIAL NO. ATTY. DOCKET NO. FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark 520.38682CX2 Not yet assigned Office APPLICANT **INFORMATION DISCLOSURE** S. NAKAYAMA, et al STATEMENT BY APPLICANT GROUP FILING DATE (Use several sheets if necessary) August 4, 2003 **U.S. PATENT DOCUMENTS** FILING DATE **EXAMINER** DOCUMENT NUMBER DATE NAME CLASS SUBCLASS 4/90 Dunphy, Jr. 1/91 Dunphy, Jr. 11/95 Junya 12/91 Dunphy, Jr. 5/98 Liorens et al 8/97 **Pearce** 7/97 Cripe et al 7/97 Matthews et al 4/97 Jones et al 3/97 Ranalli 8/96 Jones et al **FOREIGN PATENT DOCUMENTS** DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS ABSTRACT YES NO 7/99 XX Japan 5/91 XX Japan XX6/91 Japan XX 7/93 Japan XX 9/93 Japan XX 12/93 Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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SHEET 5 OF 5 ATTY. DOCKET NO. SERIAL NO. FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Not yet assigned 520.38682CX2 Office **APPLICANT INFORMATION DISCLOSURE** S. NAKAYAMA, et al STATEMENT BY APPLICANT GROUP **FILING DATE** 2182 August 4, 2003 (Use several sheets if necessary) **U.S. PATENT DOCUMENTS** FILING DATE IF APPROPRIATE **EXAMINER** DATE NAME CLASS SUBCLASS DOCUMENT NUMBER 8 2 10/02 Blumenau et al 713 168 6 3 6 4 4 2 4 5 11/02 Sanada et al 711 164 8 7/02 710 129 5 0 3 Hhese et al 6 714 5 9 2 4/99 Pignolet et al 6 8 8 8 **FOREIGN PATENT DOCUMENTS** DOCUMENT NUMBER DATE COUNTRY CLASS SUBCLASS ABSTRACT YES NO XX 0 5 1 4 3 2 2 6/93 Japan OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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